

**IPLO®**  
**Intellectual Property Law Offices**

*Michael J. Hughes*  
*Robert O. Guillot*  
*Raymond E. Roberts*  
*Larry B. Guernsey*

1901 S. Bascom Avenue, Suite 660  
Campbell, California 95008  
Telephone: (408) 558-9950  
Facsimile: (408) 558-9960  
www.iplo.com

**FAX COVER LETTER**

**Date:** January 27, 2005

**RECEIVED**  
**CENTRAL FAX CENTER**

**TO:** Examiner Michael A. Lyons  
**COMPANY:** U.S. Patent and Trademark Office  
**PHONE:**  
**FAX:** (703) 872-9306

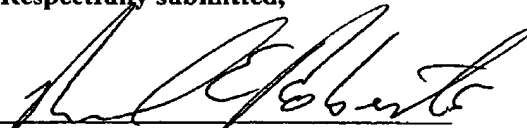
**JAN 27 2005**

**FROM:** Raymond E. Roberts  
**DIRECT DIAL:** (408) 558-7892  
**E-MAIL:** rroberts@IPLO.com  
**RE:** **Serial No. 10/066,000**; Applicant: Tsai et al.; Filed: December 9, 2002;  
Entitled: Wavelength Locker with Confocal Cavity;  
Atty. Docket No. 60617.301401

**COMMENTS:** Attached for filing are the following documents:

1. Transmittal; and
2. Response to Office Action and Amendment.

Respectfully submitted,

  
Raymond E. Roberts, Reg. No. 38,597

This facsimile contains confidential information intended only for the use of the addressee(s) named above and may contain information that is legally privileged. If you are not the addressee or the person responsible for delivering it to the addressee you are hereby notified that reading disseminating distributing or copying this facsimile is strictly prohibited. If you have received this facsimile by mistake please immediately notify us by telephone and return the original message to us at the address above via the Postal Service (we will reimburse postage). Thank you.

**Originals:** ☒ Not being sent Sent by: ☐ Post Office ☐ Messenger ☐ Air Courier ☐ E-Mail

Completed by Pat Beilmann

You should receive 9 page(s) including this page.

If the transmission is incomplete, please call 408.558.9950 as soon as possible.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tsai et al.

Docket No: 60617.301401

Serial No: 10/066,000

Group Art Unit: 2877

Filing Date: December 9, 2002

Examiner: Lyons, Michael A.

For: "WAVELENGTH LOCKER WITH CONFOCAL CAVITY"

RECEIVED  
CENTRAL FAX CENTER

JAN 27 2005

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

- ☒
- Transmitted herewith is a Response to Office Action and Amendment for this application.

## STATUS

- ☒
- Applicant is
- 
- ☒
- a small entity.
- 
- ☐
- other than a small entity.

## EXTENSION OF TIME

- ☐
- Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/>	one month	\$ 120.00	\$ 60.00
<input type="checkbox"/>	two months	\$ 450.00	\$225.00
<input type="checkbox"/>	three months	\$ 1,020.00	\$510.00
<input type="checkbox"/>	four months	\$1,590.00	\$795.00

Fee \$ \_\_\_\_\_

- ☐
- If an additional extension of time is required please consider this a petition therefor.
- 
- ☐
- An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

## Certificate of Transmission (37 CFR 1.8)

I hereby certify that this paper (along with any referred to as attached or enclosed) is being transmitted on the date shown below to the Commissioner for Patents, Washington, D.C.

Via facsimile to: (703) 872-9306

January 27, 2005  
(date)Patricia Beilmann  
(Signature of Patricia Beilmann)

- ☒ Applicant believes that no extension of time is required. However, this conditional petition is hereby made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

### FEE FOR CLAIMS

(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OR	OTHER THAN A SMALL ENTITY	
Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee	Rate	Addit. Fee
Total *	Minus *0*	=	X25=	\$	X50=	\$
Indep. *	Minus *0*	=	X100=	\$	X200=	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+180=	\$	X360=	\$
			TOTAL ADDIT. FEE	\$	OR	TOTAL ADDIT. FEE
						\$

- ☒ No additional fee for claims required.  
☐ Total additional fee for claims required \$ \_\_\_\_\_.

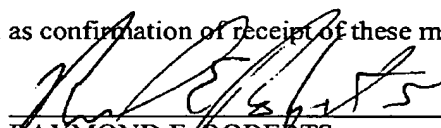
### FEE PAYMENT

- ☐ Attached is a check in the sum of \$ \_\_\_\_\_  
☐ Charge Account No. 08-3240 the sum of \$ \_\_\_\_\_.  
 A duplicate of this transmittal is attached.

### FEE DEFICIENCY

- ☒ In the event that: a) no check to cover the filing fee is enclosed, b) any above-referenced check is inadvertently omitted or lost, or c) any enclosed check is in an amount less than or greater than the required fee, the Commissioner is authorized to charge any required fees, additional fees, or credit any overpayment to Deposit Account 08-3240. A duplicate of this authorization is enclosed for that purpose.
- ☒ Attached is a postcard for date-stamped return as confirmation of receipt of these materials.

Date: January 27, 2005

  
 RAYMOND E. ROBERTS  
 Reg. No. 38,597

CUSTOMER NO. 32112

IPLO®

Intellectual Property Law Offices  
 1901 S. Bascom Avenue, Suite 660  
 Campbell, CA 95008  
 Telephone: (408) 558-9950  
 Facsimile: (408) 558-9960

Docket No.: 60617.301401

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: TSAI et al.  
For (title): WAVELENGTH LOCKER WITH CONFOCAL CAVITY

RECEIVED  
CENTRAL FAX CENTER

JAN 27 2005

Filing Date: 12/09/2002  
Serial No: 10/066,000

Examiner: LYONS, Michael A.  
Art Unit: 2877

Mail Stop Non-Fee Amendment  
Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313

## RESPONSE TO OFFICE ACTION AND AMENDMENT

Sir:

In response to the Office Action dated 11/17/2004 (Paper Number 111004), Applicant submits the following remarks, currently due on a statutory period for response extending through 2/17/2005.

## Certificate of Transmission (37 CFR 1.8)

I hereby certify that this paper (along with any referred to as attached or enclosed) is being transmitted on the date shown below to the Commissioner for Patents, Washington, D.C.

Via facsimile to: (703) 872-9306

January 27, 2005  
(date)

  
(Signature of Patricia Beilmann)